AstraZeneca's policy on Clinical Trial Transparency is aligned with US and EU regulatory and legal requirements, European Medical Association Policy and EFPIA/PhRMA joint principles for responsible clinical data sharing.

External Sharing of Individual Patient-Level Data

The following data will be de-identified:
- Subject ID replaced with random number / removed
- Including any related variable
- Centre replaced with random number / removed
- Remove geographical locations smaller than a state
- Remove all patient related dates whilst preserving study timings
- Remove all verbatim text
- Replace individual ages with 5 year age bands

Assess further data protection risks and consider appropriate actions as needed:
- Consider deletion of a small random proportion of information records
- Ensure the company, products and studies are not identifiable
- Consider aggregating/removing individual data which is extreme or unique

An example of how the rules may be applied for a study...

<table>
<thead>
<tr>
<th>STUDYID</th>
<th>SUBJ</th>
<th>CENTRE</th>
<th>PATIENT</th>
<th>COUNTRY</th>
<th>RFSTDT</th>
<th>RFENDT</th>
<th>TERM_XL</th>
<th>BRTHDT</th>
<th>AGE</th>
<th>AGEU</th>
<th>SEX</th>
<th>RACE</th>
<th>ETHNIC OR LATINO</th>
<th>ARMCD</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1234C00001</td>
<td>E0001001</td>
<td>1</td>
<td>102</td>
<td>GBR</td>
<td>2010-12-15T12:55</td>
<td>2011-02-02T13:00</td>
<td>Other; patient left country</td>
<td>02/02/1952</td>
<td>57</td>
<td>YEARS</td>
<td>M</td>
<td>WHITE</td>
<td>HISPANIC OR LATINO</td>
<td>A01</td>
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</tbody>
</table>

Assign new random ID values
Remove date/time variables
Add in study day variable RFENDY
Remove verbatim text
Remove birth date variables
Replace with age band variable AGE_B
Remove variable (as only one patient with this value)

References: Global Standard for Clinical Trial Transparency; Global Standard for Sharing Individual Patient Level Data; Functional Standard for data Privacy Related to Clinical Information Sharing and Use; Functional Standard for Clinical Information Sharing